PTO/SB/21 (09-04)
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OTRANSMITTAL		Application Number		10/668,627	
		Filing Date		September 23, 2003	
FORM	First Named Inventor		Kazuko SHINOZAKI, et al		
JUN 2 3 2005	Art Unit		1616		
(to leaved for all direspondence after i	Examiner Name		Not yet known		
Total Number of ages in This Submission 50		Attorney Docket Nu	ımber	382.1029DIV2	
ENCLOSURES (check all that apply)					
Fee Transmittal Form	☐ Drawing(s)			After Allowance Communication to TC	
Fee Attached	Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final	Petition to Convert to a Provisional Application			Proprietary Information	
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address			Status Letter	
Extension of Time Request	Terminal Disclaimer			Other Enclosure(s) (please identify below):	
Express Abandonment Request	Request for Refund  CD, Number of CD(s)  Landscape Table on CD			<ul> <li>Copy of Notice to File Corrected</li> <li>Application Papers (2 pgs);</li> <li>Corrected copy of Specification (43 pgs);</li> <li>Application Data Sheet (2 pgs); and</li> <li>Return receipt postcard.</li> </ul>	
☐ Information Disclosure Statement					
Certified Copy of Priority Document(s)	Remarks				
Reply to Missing Parts/ Incomplete Application					
Reply to Missing Parts under 37 CFR1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm	Davidson Davidson & Kappel, LLC				
Signature	mours weight				
Printed Name	Morey B Wildes	Morey B Wildes			
Date	June 21, 2005		Reg. No.	36,968	
CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Signature					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

June 21, 2005

Windy Richards

Typed or printed name

June 21, 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kazuko SHINOZAKI, et al.

Serial No.: 10/664,741

Filed: September 19, 2003

For: ENVIRONMENTAL STRESS-TOLERANT

**PLANTS** 

Art Unit: 1638

Mail Stop: Missing Parts Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

In response to the Notice to File Corrected Application Papers mailed June 14, 2005 with respect to the above-identified application, wherein the U.S. Patent and Trademark Office requested that a substitute specification be filed in compliance with 37 C.F.R. §§ 1.52, 1.121(b)(3) and 1.125, Applicants herewith submit a substitute copy of the specification wherein the specification has been corrected to be in compliance with 37 C.F.R. §§ 1.52, 1.121(b)(3) and 1.125. Applicants state that no new matter has been added to the specification.

Applicants note that no marked-up copy of the specification is enclosed herewith because no changes have been made to the text of the application in preparing the substitute specification submitted herewith. Instead, only the sheets of the application have been cleaned up so that they no longer contain markings etc. that make scanning and proper storing impossible. However, if the USPTO still requires a marked-up copy of the specification, Applicants request that the USPTO inform the Applicants, and one will be provided.

In addition, the Notice to File Corrected Application Papers stated that pages 41-66 of the specification (description and claims) are missing. Applicants note that pages 41-66 of the

priority application (U.S. Patent Application No. 09/301,217) contained the sequence listing, and Applicants submitted a replacement sequence listing for this application at the time of filing. Accordingly, pages 41-66 are not needed for this application, and Applicants have renumbered pages 67-69 of the application as pages 41-43 in the enclosed substitute specification.

Applicants also herewith submit a revised Application Data Sheet to correct the Assignee information that was listed on the Application Data Sheet that was submitted with the application.

It is now believed that all grounds for the Notice to File Corrected Application Papers have been corrected, and it is respectfully urged that the patent application is in order for further processing. Early action to that end is respectfully solicited.

Respectfully submitted, DAVIDSON, DAVIDSON AND KAPPEL, LLC

Morey B. Wildes

Reg. No. 36,968

DAVIDSON, DAVIDSON AND KAPPEL, LLC 485 Seventh Avenue, 14<sup>th</sup> Floor New York, New York 10018 (212) 736-1940

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Oddress COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

NEW YORK, NY 10018

23280

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/668,627

DAVIDSON, DAVIDSON & KAPPEL, LLC

485 SEVENTH AVENUE, 14TH FLOOR

09/23/2003

Kazuko Shinozaki-

382.1029DIV2

**CONFIRMATION NO. 7894** 

FORMALITIES LETTER 

\*OC000000016274620\*

Date Mailed: 06/14/2005

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

## Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The substitute specification must be accompanied by a marked up copy as set forth in 37 CFR 1.125(c) and a statement that the specification contains no new matter (see 37 CFR 1.125(b)). The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
  - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, nonshiny, durable, and white paper. The text must be written in a nonscript type font (e.g., Arial, Times Roman, or Courier, preferably a font size of 12) lettering style having capital letters that should be at least 0.3175 cm. (0.125 inch) high. A font with capital letters smaller than 0.3175 cm. (0.125 inch) high is only acceptable if the writing is clear and legible. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 3 are not in compliance with 37 CFR 1.52(a) or (b).

The following item(s) appear to have been **omitted** from the application:

- Page(s) 41-66 of the specification (description and claims).
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$400.00 petition fee (37 CFR 1.17(f))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$400.00 petition fee (37 CFR 1.17(f)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(f)). To avoid processing delays and payment of a surcharge, applicant should submit any balance due for the requisite filing fee based on the later filing date being requested when submitting the omitted items(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required. A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Office of Initial Patent Examination (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE